

File

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

To:

PEPPER HAMILTON LLP  
Attn. Miller, Raymond A.  
One Mellon Center, 50th Floor  
500 Grant Street  
Pittsburgh, PA 15219  
UNITED STATES OF AMERICA

RECEIVED

SEP 14 2005

LEGAL

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT AND  
THE WRITTEN OPINION OF THE INTERNATIONAL  
SEARCHING AUTHORITY, OR THE DECLARATION

(PCT Rule 44.1)

Date of mailing \*  
(day/month/year)

06/09/2005

Applicant's or agent's file reference

126457.01802

FOR FURTHER ACTION

See paragraphs 1 and 4 below

International application No.

PCT/US2004/042941

International filing date  
(day/month/year)

21/12/2004

Applicant

MYKROLIS CORPORATION

1. ☒ The applicant is hereby notified that the international search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

**Where?** Directly to the International Bureau of WIPO, 34 chemin des Colombettes  
1211 Geneva 20, Switzerland, Facsimile No.: (41-22) 740.14.35

**For more detailed instructions,** see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no international search report will be established and that the declaration under Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.
3. ☐ **With regard to the protest** against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:
- ☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.
- ☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

**4. Reminders**

Shortly after the expiration of **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless an international preliminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 30 months from the priority date.

Within **19 months** from the priority date, but only in respect of some designated Offices, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until **30 months** from the priority date (in some Offices even later); otherwise, the applicant must, **within 20 months** from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of **30 months** (or later) will apply even if no demand is filed within 19 months.

See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the *PCT Applicant's Guide*, Volume II, National Chapters and the WIPO Internet site.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Véronique Baillo

Docketed By: 200400048

Due Date: 9/14/05

Reminder(s):

## NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

**The amendments must be made in the language in which the international application is to be published.**

#### What documents must/may accompany the amendments?

##### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

**The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.**

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 126457.01802	<b>FOR FURTHER ACTION</b> see Form PCT/ISA/220 as well as, where applicable, item 5 below.	
International application No. PCT/US2004/042941	International filing date (day/month/year) 21/12/2004	(Earliest) Priority Date (day/month/year) 22/12/2003
Applicant  MYKROLIS CORPORATION		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 6 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

## 1. Basis of the report

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ The international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

- b. ☐ With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, see Box No. I.

2. ☐ **Certain claims were found unsearchable** (See Box II).

3. ☒ **Unity of invention is lacking** (see Box III).

4. With regard to the **title**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established by this Authority to read as follows:

EXCHANGE DEVICES WITH POTTED HOLLOW CONDUITS AND METHODS OF MAKING

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. With regard to the **drawings**,

- a. the figure of the **drawings** to be published with the abstract is Figure No. 7C

☐ as suggested by the applicant.

☐ as selected by this Authority, because the applicant failed to suggest a figure.

☒ as selected by this Authority, because this figure better characterizes the invention.

- b. ☐ none of the figures is to be published with the abstract.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2004/042941

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☒ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☒ No protest accompanied the payment of additional search fees.

## FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-14,15,21-29

Exchanger with hollow conduits with improved interconnection and integrity of tube sheet and housing by providing grooves at the end of the housing which are filled by the potting material and which comprise vent channels to prevent inclusion of gas.

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2. claims: 16-20,35

Exchanger with hollow conduits potted in a tube sheet, the conduits comprising co-extruded layers. The outer layer of the conduits is fused with the tube sheet material in order to improve bonding strenght and sealing properties of the connection.

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3. claims: 30-34,36

Exchanger with hollow conduits at a packing density of 20-70 % Vol.capable to withstand pressures of about 3,4 bar and temperatures of 100 °C without loosing its integrity.

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**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7 F28F9/04 B01D63/02 F28D7/00 B01D69/08 B01D71/32  
B01D65/00 D01D5/247

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F28F B01D F28D D01D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, PAJ, WPI Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 706 818 A (KANEAFUCHI KAGAKU KOGYO KABUSHIKI KAISHA) 17 April 1996 (1996-04-17)	1,7,8, 10,11, 13,14, 21,30-34
Y	column 1, line 31 - line 49; examples CE1,E1 column 3, line 40 - line 51 column 5, line 3 - line 17 column 6, line 23 - line 53 column 7, line 34 - line 38; claims 1,5; figures 1-6 ----- -/--	4,12, 22-29

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

18 July 2005

Date of mailing of the international search report

06. 09. 05

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Goers, B

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 1997, no. 01, 31 January 1997 (1997-01-31) -& JP 08 229359 A (KANEKAFUCHI CHEM IND CO LTD), 10 September 1996 (1996-09-10)	1,7,8, 10,11, 13,14,21
Y	abstract; figures 1-6	4,12, 22-29
Y	----- WO 03/029744 A (MYKROLIS CORPORATION; DOH, CHA, P; CHENG, KWOK-SHUN; BRIGGS, ALICIA) 10 April 2003 (2003-04-10) page 10, line 20 - page 11, line 4; examples 3-5	5,15,22, 23
Y	claims 8,16	19
Y	----- WO 03/029775 A (MYKROLIS CORPORATION; PILLION, JOHN, E; SHYU, JIEH-HWA; BRIGGS, ALICIA) 10 April 2003 (2003-04-10) figure 1	25-29
X	----- PATENT ABSTRACTS OF JAPAN vol. 017, no. 355 (C-1079), 6 July 1993 (1993-07-06) -& JP 05 049875 A (MITSUBISHI RAYON CO LTD), 2 March 1993 (1993-03-02)	1-3, 6-11,13, 14,21
Y	abstract; figures 1-3	4,5,12, 15,24
Y	----- US 6 582 496 B1 (CHENG KWOK-SHUN ET AL) 24 June 2003 (2003-06-24) column 2, line 19 - line 47; claim 94 column 8, line 23 - line 38	5,15
X	----- US 6 149 422 A (CESARONI ET AL) 21 November 2000 (2000-11-21)	16-18,20
Y	column 2, line 57 - line 62	19
A	column 6, line 37 - line 51 column 8, line 1 - line 3	13,35
A	----- US 4 867 233 A (GEMEINHARDT ET AL) 19 September 1989 (1989-09-19) claims 1,7	13,16, 20,35
A	----- GB 2 273 459 A (ANTHONY JOSEPH * CESARONI) 22 June 1994 (1994-06-22) page 7, line 23 - page 8, line 6; figures	13,16, 20,35
X	----- EP 0 941 759 A (NEDERLANDSE ORGANISATIE VOOR) 15 September 1999 (1999-09-15) paragraphs [0005], [0014], [0016], [0017]; figures 7,8	30-34

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
EP 0706818	A	17-04-1996	JP 6296834 A US 5472601 A EP 0706818 A1	25-10-1994 05-12-1995 17-04-1996
JP 08229359	A	10-09-1996	NONE	
WO 03029744	A	10-04-2003	CN 1561448 A EP 1432955 A2 TW 575725 B WO 03029744 A2 US 2004251010 A1	05-01-2005 30-06-2004 11-02-2004 10-04-2003 16-12-2004
WO 03029775	A	10-04-2003	CN 1561473 A EP 1433036 A2 TW 546465 B WO 03029775 A2 US 2004251017 A1	05-01-2005 30-06-2004 11-08-2003 10-04-2003 16-12-2004
JP 05049875	A	02-03-1993	NONE	
US 6582496	B1	24-06-2003	US 2003192428 A1 AU 3477000 A EP 1148931 A1 JP 2002535129 T	16-10-2003 18-08-2000 31-10-2001 22-10-2002
US 6149422	A	21-11-2000	US 6001291 A CA 2244748 A1 WO 9728952 A1 DE 69706648 D1 DE 69706648 T2 EP 0879134 A1 JP 3530194 B2 JP 2000504638 T	14-12-1999 14-08-1997 14-08-1997 18-10-2001 27-06-2002 25-11-1998 24-05-2004 18-04-2000
US 4867233	A	19-09-1989	DE 3614339 A1 DE 3763109 D1 DK 65787 A EP 0243574 A2 JP 62266392 A	29-10-1987 12-07-1990 29-10-1987 04-11-1987 19-11-1987
GB 2273459	A	22-06-1994	WO 9414598 A1	07-07-1994
EP 0941759	A	15-09-1999	EP 0941759 A1 JP 2000288842 A US 6174490 B1 US 6712131 B1	15-09-1999 17-10-2000 16-01-2001 30-03-2004